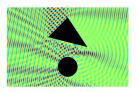
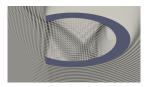
Welcome to ...

At the Interface Between Semiclassical Analysis (SAC) and Numerical Analysis (NA) of Wave Scattering Problems



Simon Chandler-Wilde¹, Monique Dauge², Euan Spence³ & Jared Wunsch⁴ (organisers)

¹University of Reading ²Université de Rennes ³University of Bath ⁴Northwestern University



Mathematisches Forschungsinstitut Oberwolfach, September 2022

• Housekeeping: lunch, tables/napkins, MFO abstract book, MFO Friends

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- 49 in-person, 8 online participants see full list in Tuesday's email from MFO Progam Coordination

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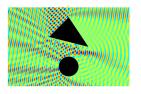
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Our aim today: to give some overview of the SCA/NA interface (and existing successes) from the NA side, then the SCA side this pm.

NA at the SCA/NA interface: issues and case studies



Simon Chandler-Wilde¹ and Euan Spence²

¹University of Reading, ²University of Bath, UK





At the Interface Between Semiclassical Analysis (SAC) and Numerical Analysis (NA) of Wave Scattering Problems, MFO, September 2022

At the Interface between Semiclassical Analysis and Numerical Analysis of Wave Scattering Problems: Schedule of Talks

Monday

0900-0950 Chandler-Wilde (First "introductory" numerical-analysis talk)

0950-1000 Questions/discussion.

1000-1050 Moiola (Second "introductory" numerical-analysis talk)

 $1050\mathchar`-1100$ Questions/discussion.

1100-1130 Coffee

1130-1220 Gander (Third "introductory" numerical-analysis talk)

1220-1230 Questions/discussion.

1230 Lunch

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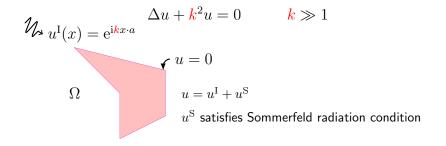
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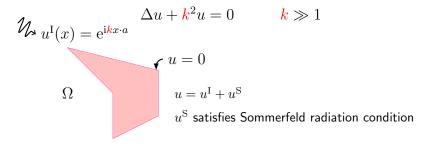
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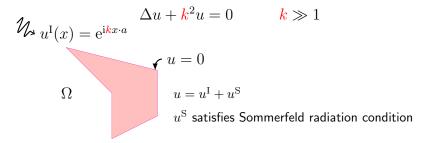
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- The NA side of the NA/SCA interface bringing SCA colleagues up to speed with our (NA) methods and our issues (that likely need SCA input)
- Opportunities at the NA/SCA interface (case studies) why we are here!



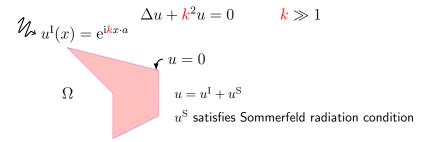


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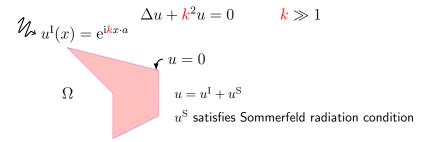
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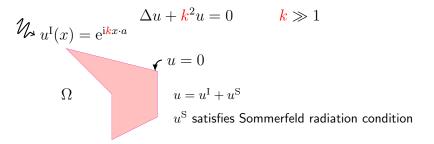
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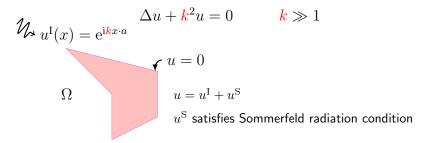


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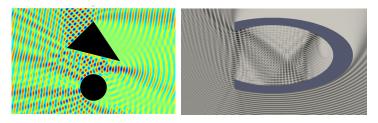
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Geometry: SCA most comfortable with C^{∞} (but large body of work on diffraction by corners/edges, including **Baskin & Wunsch** 2013) NA likes C^{∞} too, but also keen on piecewise smooth/analytic + rougher boundaries



Goal: Given u^{I} and Ω , find u**Example solutions:**



This starts from a **variational formulation** of the above problem as: find $v \in \mathcal{H}$ (some Hilbert space) such that

 $a(v,w) = F(w) \quad \forall w \in \mathcal{H},$

where $a(\cdot,\cdot)$ and $F(\cdot)$ are some specified sesquilinear form and anti-linear functional on $\mathcal{H}.$

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(See next talk (Moiola) for cases where $\mathcal{H}_N \subset \mathcal{H}$ violated.)

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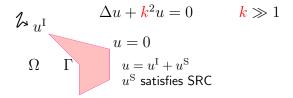
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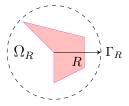
Goal: SCA to help with all three choices!



$$\begin{array}{c} \Delta u + k^2 u = 0 \\ u = 0 \\ \Omega \\ \Gamma \\ u = u^{\mathrm{I}} + u^{\mathrm{S}} \\ u^{\mathrm{S}} \text{ satisfies SRC} \end{array}$$

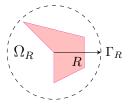
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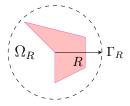
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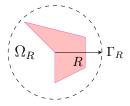
Variational formulation in domain: starting point of FEM



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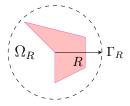


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Exact DtN expensive, so in practice approximate by one of: i) **local b.c.**, e.g. use of impedance b.c. $\partial_n u - iku = 0$ classic NA model problem;

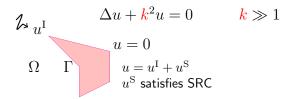
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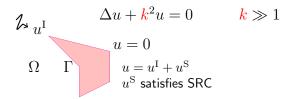
Exact DtN expensive, so in practice approximate by one of: i) **local b.c.**, e.g. use of impedance b.c. $\partial_n u - iku = 0$ classic NA model problem; ii) **PML** = complex scaling in SCA + truncating domain with u = 0 b.c.



Green's representation theorem:

$$u^{\mathrm{S}}(x) = \int_{\Gamma} \left(\Phi(x, y) \partial_n u^{\mathrm{S}}(y) - \frac{\partial \Phi(x, y)}{\partial n(y)} u^{\mathrm{S}}(x) \right) \, \mathrm{d}s(y), \quad x \in \Omega,$$

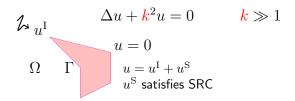
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 $\Phi(x,y) =$ Helmholtz fundamental solution $= \exp(i\mathbf{k}|x-y|)/(4\pi|x-y|)$ in 3D. Taking Dirichlet/Neumann traces gives a **boundary integral equation (BIE)**, in operator form

$$A \partial_n u^{\mathrm{S}} = f.$$

$$\begin{array}{ccc} \Delta u + k^2 u = 0 & k \gg 1 \\ u = 0 & u = u^{\mathrm{I}} + u^{\mathrm{S}} \\ u^{\mathrm{S}} \text{ satisfies SRC} \end{array}$$

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$$u^{\mathrm{S}}(x) = \int_{\Gamma} \left(\Phi(x, y) \partial_n u^{\mathrm{S}}(y) + \frac{\partial \Phi(x, y)}{\partial n(y)} u^{\mathrm{I}}(x) \right) \, \mathrm{d}s(y), \quad x \in \Omega,$$

 $\Phi(x,y) =$ Helmholtz fundamental solution $= \exp(ik|x-y|)/(4\pi|x-y|)$ in 3D. Taking Dirichlet/Neumann traces gives a **boundary integral equation (BIE)**, in operator form

$$A \,\partial_n u^{\rm S} = f$$

Alternatively, try as ansatz (η some constant, φ a function to be determined),

$$u^{\mathrm{S}}(x) = \int_{\Gamma} \left(\frac{\partial \Phi(x, y)}{\partial n(y)} - \mathrm{i} \eta \Phi(x, y) \right) \varphi(y) \, \mathrm{d} s(y), \quad x \in \Omega.$$

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In either case, with $v=\partial_n u^{\rm S}$ or $v=\varphi,$ respectively, and $\mathcal{H}=L^2(\Gamma),$

$$Av = f \Rightarrow \int_{\Gamma} Av\bar{w} \,\mathrm{d}s = \int_{\Gamma} f\bar{w} \,\mathrm{d}s, \quad w \in \mathcal{H}, \quad \mathrm{i.e}$$

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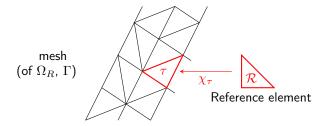
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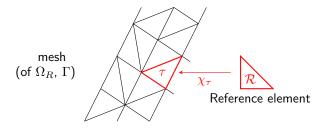
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Lots of choices/options, design of new BIEs an active area which can be/is informed by SCA, e.g., **Epstein** talk & work of **Darbas**.

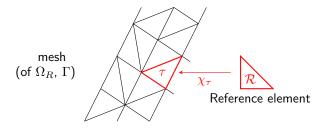


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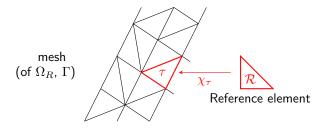
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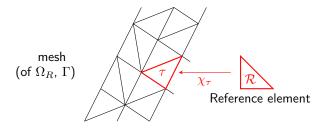


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- $h := \max \operatorname{diam}(\tau) \to 0$ (h-FEM/BEM); or
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as function of Ω , k, h, and p – NA and SCA input valuable and complementary. By Whittaker-Shannon-Nyquist criterion we expect

 $\dim(\mathcal{H}_N) \sim \mathbf{k}^{\mathsf{dimension}}$ of domain

necessary and sufficient to maintain accuracy as $k
ightarrow \infty$.

$\label{eq:comparison} Comparison \ of \ \mathsf{FEM}/\mathsf{BEM}$

FEM	BEM
Computational domain (Ω_R) is <i>d</i> -dimensional	Computational domain $(\Gamma=\partial\Omega)$ is $d-1$ -dimensional
$\dim(\mathcal{H}_N)\sim {m k}^d$ needed for small best approx. error	$\dim(\mathcal{H}_N)\sim k^{d-1}$ needed for small best approx. error
Sparse linear system (see Gander talk)	Dense linear system (see, e.g., Chaillat-Loseille talk)
Works for variable coefficients, e.g., $\nabla \cdot (A(x) \nabla u) + k^2 n(x) u = 0$	Needs constant coefficients

Goal: prove quasi-optimality, that the Galerkin solution $v_N \in \mathcal{H}_N$ satisfies

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 $\underbrace{|a(u,w)| \leq C_{\text{cont}} \|u\|_{\mathcal{H}} \|w\|_{\mathcal{H}}}_{\text{Continuity/boundedness of } a} \quad \text{and} \quad \underbrace{|a(w,w)| \geq C_{\text{coer}} \|w\|_{\mathcal{H}}^2}_{\text{Coercivity of } a}, \quad \forall u, w \in \mathcal{H},$ then (*) holds for every $\mathcal{H}_N \subset \mathcal{H}$ with $C_{\text{qo}} = \frac{C_{\text{coer}}}{C_{\text{coer}}}$; this is Céa's lemma = extension of Lax-Milgram.

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Compact perturbation arguments show $\exists N_0 \text{ s.t. } (*)$ holds for $N \geq N_0$ but need k-explicit (e.g., SCA) info about Helmholtz solution to quantify how N_0 and C_{qo} depend on k (and Ω , h, p).

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$$u^{\mathrm{I}}(x) = \mathrm{e}^{\mathrm{i}kx \cdot a}$$

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Melrose & Taylor 1985, through SCA, study $k \to \infty$ asymptotics of

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how do C_{qo} and N_0 depend on \pmb{k} (and Ω , h, p)?

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For h-FEM need $\dim(\mathcal{H}_N) \sim k^d$ to control best approximation error, but $\dim(\mathcal{H}_N) \gg k^d$ for (*) to hold (with C_{qo} independent of k), the so-called "pollution effect" – Babuška & Sauter 1997.

For *h*-BEM no pollution if Ω non-trapping, (*) holds with dim(\mathcal{H}_N) ~ k^{d-1} ; Galkowski & Spence 2022, through SCA high/low freq. integral operator splitting

For hp-**FEM/BEM** no pollution, (*) holds with $\dim(\mathcal{H}_N) \sim k^d$ or k^{d-1} , provided $p \sim \log k$; **Melenk & Sauter** 2010, 2011, Löhndorf & **Melenk** 2011, Bernkopf, **Chaumont-Frelet**, **Melenk** 2022, and (with SCA-methods) in Lafontaine, Spence, Wunsch 2020, **Galkowski, Lafontaine, Spence, Wunsch** – see talks by Lafontaine, Melenk/Sauter

Open problems: Elasticity, Maxwell (though see **Melenk**, **Sauter** 2022)? For BEM case, other b.c.'s, Ω trapping?

$$\mathcal{L}_{u^{\mathrm{I}}} \qquad \begin{array}{c} \Delta u + k^{2}u = 0 \\ \Omega & \Gamma & \Omega \end{array} \qquad \begin{array}{c} u = 0 \\ u = 0 \end{array} \qquad \begin{array}{c} k \gg 1 \end{array}$$

Try as ansatz

$$u^{\rm S}(x) = \int_{\Gamma} \left(\frac{\partial \Phi(x, y)}{\partial n(y)} - \mathrm{i}\eta \Phi(x, y) \right) \varphi(y) \,\mathrm{d}s(y), \quad x \in \Omega. \quad (*)$$

Imposing b.c. $u^{\mathrm{S}} = -u^{\mathrm{I}}$ on Γ gives a BIE $A \varphi = f := -\gamma u^{\mathrm{I}}$.

Q. How do ||A||, $||A^{-1}||$, $\operatorname{cond} A := ||A|| ||A^{-1}||$ depend on k, η , Ω ?

$$\begin{aligned} & \mathbf{\chi}_{u^{\mathrm{I}}} \qquad \Delta u + \mathbf{k}^{2} u = 0 \\ & \mathbf{\chi}_{u^{\mathrm{I}}} \qquad u = 0 \\ & \mathbf{\Omega}_{\mathrm{I}} \quad \mathbf{\Omega}_{\mathrm{I}} \end{aligned}$$

Try as ansatz

$$u^{\rm S}(x) = \int_{\Gamma} \left(\frac{\partial \Phi(x, y)}{\partial n(y)} - \mathrm{i} \eta \Phi(x, y) \right) \varphi(y) \, \mathrm{d} s(y), \quad x \in \Omega. \quad (*)$$

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Q. How do ||A||, $||A^{-1}||$, $\operatorname{cond} A := ||A|| ||A^{-1}||$ depend on k, η , Ω ?

||A|| tackled by SCA results on restrictions of Δ eigenfunctions to submanifolds (**Burq**, Gérard, Tzvetkov 2007) by **Galkowski** & Smith 2015 and by Han & **Tacy** 2015.

$$\begin{aligned} & \mathbf{\chi}_{u^{\mathrm{I}}} \qquad \Delta u + \mathbf{k}^{2} u = 0 \\ & \mathbf{\chi}_{u^{\mathrm{I}}} \qquad u = 0 \\ & \mathbf{\Omega}_{\mathrm{I}} \quad \mathbf{\Omega}_{\mathrm{I}} \end{aligned}$$

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 $||A^{-1}||$ tackled via SCA resolvent estimates for $(\Delta + k^2)^{-1}$ for Ω_- and for non-trapping Ω in **Baskin**, **Spence**, **Wunsch** 2016

$$\begin{aligned} & \mathbf{\chi}_{u^{\mathrm{I}}} \quad \Delta u + \mathbf{k}^{2} u = 0 \\ & \mathbf{\Omega}_{\mathrm{I}} \quad \mathbf{\Omega}_{-} \end{aligned} \qquad \mathbf{k} \gg 1 \end{aligned}$$

Try as ansatz

$$u^{\rm S}(x) = \int_{\Gamma} \left(\frac{\partial \Phi(x, y)}{\partial n(y)} - \mathrm{i}\eta \Phi(x, y) \right) \varphi(y) \,\mathrm{d}s(y), \quad x \in \Omega. \quad (*)$$

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Try as ansatz

$$u^{\rm S}(x) = \int_{\Gamma} \left(\frac{\partial \Phi(x, y)}{\partial n(y)} - \mathrm{i}\eta \Phi(x, y) \right) \varphi(y) \,\mathrm{d}s(y), \quad x \in \Omega. \quad (*)$$

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Open problems: rigorous extensions to other b.c.'s, elastic waves, where η is an (SCA-inspired) operator – see, e.g., **Darbas**, **Chaillat**, Le Louër 2021

Coming from the NA side it is clear that:

- There are many problems in NA of wave problems that SCA can help with
- There are successes at the SCA/NA interface already, but many open problems